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J1057 U.S. PTO  
01/10/02

Jc971 U.S. PTO  
10/043499  
01/10/02

FORM PTO-1082

Express Mail EL 926 865 998 US

PATENT

Atty. Docket No. 49996-9004

Date: January 9, 2002

**UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL**

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Kuang-Ming CHEN

For: SYSTEM AND METHOD FOR INTERRUPTION-FREE FILE ACCESS

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☒ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (23 pages)
- 5 Sheet(s) of drawing (☒ formal, ☐ informal)
- ☒ Form PTO-1595 and an assignment of the invention to: Lager Interactive, Inc.
- ☒ A certified copy of Taiwan Application No. 90133337 filed on December 31, 2001 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, ☒ will follow.
- ☐ An associate Power of Attorney.
- ☒ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
 of prior application No.: \_\_\_\_\_

Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

- ☒ Check in the amount of \$506.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$0.00 to cover the Assignment Recordation filing fee is enclosed.

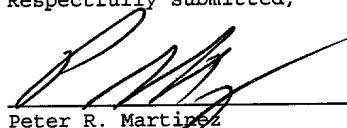
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.

A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Peter R. Martinez

Registration No. 42,845

c/o

BAKER & MCKENZIE

101 West Broadway, 12th Floor

San Diego, California 92101

(619) 236-1441

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **506.00****Complete if Known**

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Kuang-Ming CHEN
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	87149996-9004

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-04210**

Deposit Account Name **Baker & McKenzie**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$) **370.00****2. EXTRA CLAIM FEES**

Total Claims		Extra Claims		Fee from below		Fee Paid	
26	-20** =	6	X	9.00	=	54.00	
4	-3** =	1	X	42.00	=	42.00	
Multiple Dependent							

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) **96.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**


Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **40.00****SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Peter R. Martinez	Registration No. (Attorney/Agent)	42,845	Telephone	(619) 236-1441
Signature		Date			

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Art Unit: To be assigned
Kuang-Ming CHEN	)	
	)	Examiner: To be assigned
Serial No.: To be assigned	)	
	)	
Filed: Filed herewith	)	
	)	
For: <b>SYSTEM AND METHOD FOR</b>	)	
<b>INTERRUPTION-FREE FILE</b>	)	
<b>ACCESS</b>	)	

San Diego, California 92101  
January 10, 2002

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL 926 865 998 US

Date of Deposit: January 10, 2002

I hereby certify that a Utility Patent Application Transmittal, Patent Application including the Specification, Abstract, and Claims (23 pages), Formal Drawings (FIGS. 1-7 on 5 pages), Check in the amount of \$506.00 (filing fee and Assignment recording fee), Combined Declaration and Power of Attorney, Assignment and Recordation Form Cover Sheet and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Karen M. Cruz